

1 **Indigenous bacteria and fungi drive traditional *kimoto* sake fermentations**

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13 **ABSTRACT**

14 Sake (Japanese rice wine) production is a complex, multistage process in which fermentation is  
15 performed by a succession of mixed fungi and bacteria. This study employed high-throughput  
16 marker-gene sequencing, quantitative PCR, and terminal restriction fragment length polymorphism  
17 to characterize the bacterial and fungal communities of spontaneous sake production from koji to  
18 product as well as brewery equipment surfaces. Results demonstrate a dynamic microbial  
19 succession, with koji and early moto fermentations dominated by *Bacillus*, *Staphylococcus*, and  
20 *Aspergillus flavus* subsp. *oryzae*, succeeded by *Lactobacillus* spp. and *Saccharomyces cerevisiae* later  
21 in the fermentations. The microbiota driving these fermentations were also prevalent in the  
22 production environment, illustrating the reservoirs and routes for microbial contact in this  
23 traditional food fermentation. Interrogating the microbial consortia of production environments in  
24 parallel with food products is a valuable approach for understanding the complete ecology of food-  
25 production systems and can be applied to any food system, leading to enlightened perspectives for  
26 process control and food safety.

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29 Humans have employed food fermentation since time immemorial to improve the safety, stability,  
30 flavor, nutrition, and value of their agricultural products. Traditionally, these processes have been  
31 driven by indigenous fungi and bacteria originating in raw materials, in autochthonous starter  
32 cultures, or in the processing environment itself (1), organisms that are responsible for these  
33 beneficial transformative processes as well as for product spoilage (2-3). While most modern  
34 fermented foods are inoculated with defined starter cultures, traditional, uninoculated products  
35 remain celebrated for their historical and cultural significances (4), and indigenous microbial  
36 activity is often considered to increase the flavor complexity of these foods (5). The advent of high-  
37 throughput sequencing technologies has enhanced our ability to investigate the role of microbial  
38 communities in food systems with greater scale and sensitivity than ever possible (4), connecting  
39 the transmission of microbial communities in food production and food-processing environments  
40 to their impact on food products.

41 Sake is the traditional, national alcoholic beverage of Japan. Sake is produced from rice through the  
42 saccharification of starch by *Aspergillus flavus* subsp. *oryzae* and subsequent alcoholic fermentation  
43 by *Saccharomyces cerevisiae*. Sake brewing involves a serial propagation process, beginning with  
44 *koji*, a solid culture consisting of rice and *A. oryzae* (6) (Figure 1). Polished, steamed rice is mixed  
45 with the dried spores of *A. oryzae* and incubated for approximately 2 days. *Koji* is then pitched with  
46 more steamed rice, water, and yeast into the *moto* (*seed mash*) tank, an open mashing vessel,  
47 wherein fermentation occurs for 10-25 days. Next, the *moto* is moved to a larger vessel and mixed  
48 with increasing amounts of water, rice, and *koji* in three additions to form *moromi*, the main  
49 fermentation. *Moromi* fermentation occurs for 20-30 days, after which it is pressed, filtered, and  
50 typically pasteurized to become finished sake. Originally, sake brewing was performed entirely by  
51 autochthonous microorganisms. However, as sake fermentations are conducted in open fermenters  
52 such methods are prone to microbial contamination. Thus, most modern sake production is  
53 inoculated with pure yeast and acidified with lactic acid in the *moto* to inhibit the growth of  
54 undesirable organisms. In contrast, in traditional *moto* fermentations the growth of undesirable  
55 bacteria and wild yeast is inhibited by several factors (low pH, high concentration of sugar and  
56 nitrite, and low-temperature). In particular, lactic acid and nitrite produced by specific bacteria  
57 play an important role for inhibition of undesirable bacteria (7). After decrease of undesirable  
58 microorganisms, the indigenous yeast that is suitable for sake fermentation grows spontaneously  
59 or pure culture yeast is added. This traditional method of *moto* process is called “*kimoto*”.

60 Many studies have been conducted to reveal the microbial transitions that occur during kimoto-  
61 style sake production using culture-based techniques (7-9) but few have employed culture-  
62 independent techniques (10). In the early stages, bacteria (*Micrococcus*, *Escherichia*, *Pseudomonas*,  
63 *Enterobacter*, *Aerobacter*, and *Achromobacter*) and non-*Saccharomyces* yeasts (*Pichia* spp., *Candida*  
64 spp., *Zygosaccharomyces* spp.) have been detected (7-9). Among these, gram-negative bacteria  
65 including *Escherichia* and *Pseudomonas* initially increase. Then, lactic acid bacteria such as  
66 *Leuconostoc mesenteroides* var. *sake* and *Lactobacillus sakei* grow and produce lactic acid, leading to  
67 decreased pH (6-7, 11-12). In parallel with these microbial community changes, rice starches are  
68 saccharified by *A. oryzae* amylase activity in the *moto*, and wild yeasts and bacteria are inhibited by  
69 the low pH, high sugar concentration, and high concentration of nitrite (7). Subsequently, sake  
70 yeast (*Saccharomyces cerevisiae*) increases in the *moto* and conducts the main alcoholic  
71 fermentation (7).

72 To improve our understanding of *kimoto* fermentations, we analyzed the bacterial and fungal  
73 communities of *koji* and *kimoto* production in parallel with the processing environment of a North  
74 American sake brewery, using high-throughput marker-gene sequencing, quantitative PCR (QPCR),  
75 and terminal restriction fragment-length polymorphism (TRFLP). Results suggest that microbial  
76 transfer from the processing environment is responsible for driving microbial successions  
77 throughout sake fermentations.

78

## 79 **Materials & Methods**

### 80 **Sample Collection and DNA Extraction**

81 All samples were collected from a single sake brewery located in North America. This facility  
82 produces sake using the *kimoto* method, using no starter cultures except for *A. oryzae* in their koji  
83 preparations. Koji samples were collected before inoculation with *A. oryzae*, at mixing times, and at  
84 harvest across the 48-hr preparation time of two separate batches. *Moto* and *moromi* samples from  
85 two separate production batches were collected in duplicate every other day for the first two  
86 weeks, then weekly thereafter. Biological replicates were collected from the *moromi*, which was  
87 fermented in two separate fermentation tanks. Equipment and environmental surfaces were  
88 sampled as previously described (1). Sterile cotton-tipped swabs (Puritan Medical, Guilford, ME)  
89 were moistened with sterile phosphate-buffered saline and streaked across a 100 cm<sup>2</sup> area of the  
90 target surface in two perpendicular series of firm, overlapping S-strokes, rotating the swab to  
91 ensure full contact of all parts of the swab tip and the surface. Samples were placed on ice and  
92 frozen immediately in a -20°C freezer for storage. Fermentation samples were centrifuged at  
93 4,000g prior to DNA extraction. DNA was extracted using the standard protocol for the ZR Fecal  
94 DNA MiniPrep Kit (Zymo Research, Irvine, CA), with bead beating in a FastPrep-24 bead beater (MP  
95 Bio, Solon, OH), and stored at -20°C until further processing.

### 96 **Sequencing Library Construction**

97 Amplification and sequencing was performed as described previously for bacterial (13) and fungal  
98 communities (14). Briefly, the V4 domain of bacterial 16S rDNA genes was amplified using primers  
99 F515 (5'-NNNNNNNGTGTGCCAGCMGCCGCGGTAA-3') and R806 (5'-  
100 GGACTACHVGGGTWTCTAAT-3') (15), with the forward primer modified to contain a unique 8 nt  
101 barcode (italicized poly-N section of primer above) and 2 nt linker sequence (bold, underlined  
102 portion) at the 5' terminus. PCR reactions contained 5-100 ng DNA template, 1X GoTaq Green  
103 Master Mix (Promega), 1 mM MgCl<sub>2</sub>, and 2 pmol of each primer. Reaction conditions consisted of an  
104 initial 94°C for 3 min followed by 40 cycles of 94°C for 45 sec, 50°C for 60 sec, and 72°C for 90 sec,  
105 and a final extension of 72°C for 10 min. Fungal internal transcribed spacer (ITS) 1 loci were  
106 amplified with primers BITS (5'-NNNNNNNCTTACCTGCGGARGGATCA-3') and B58S3 (5'-  
107 GAGATCCRTTGYTRAAAGTT-3') (14), with a unique 8 nt barcode and linker sequence incorporated  
108 in each forward primer. PCR reactions contained 5-100 ng DNA template, 1X GoTaq Green Master  
109 Mix (Promega, Madison, WI), 1 mM MgCl<sub>2</sub>, and 2 pmol of each primer. Reaction conditions

110 consisted of an initial 95°C for 2 min followed by 40 cycles of 95°C for 30 sec, 55°C for 30 sec, and  
111 72°C for 60 sec, and a final extension of 72°C for 5 min. Amplicons were combined into two  
112 separate pooled samples (keeping bacterial and fungal amplicons separate) at roughly equal  
113 amplification intensity ratios, purified using the Qiaquick spin kit (Qiagen), and submitted to the UC  
114 Davis Genome Center DNA Technologies Core for Illumina paired-end library preparation, cluster  
115 generation, and 250 bp paired-end sequencing on an Illumina MiSeq instrument in two separate  
116 runs.

### 117 **Data Analysis**

118 Raw fastq files were demultiplexed, quality-filtered, and analyzed using QIIME v.1.7.0 (16). The  
119 250-bp reads were truncated at any site of more than three sequential bases receiving a quality  
120 score < Q20, and any read containing ambiguous base calls or barcode/primer errors were  
121 discarded, as were reads with < 75% (of total read length) consecutive high-quality base calls (17).  
122 Reverse primer sequences were trimmed from the ends of ITS sequences following demultiplexing.  
123 Operational taxonomic units (OTUs) were clustered at 97% identity using the QIIME subsampled  
124 reference OTU-picking pipeline using UCLUST-ref (18) against either the Greengenes 16S rRNA  
125 database (May 2013 release) (19) or the UNITE fungal ITS database (20), modified as described  
126 previously (14). OTUs were classified taxonomically against these same databases using the QIIME-  
127 based wrappers of RDP classifier (21) (16S sequences) or a UCLUST-based classifier (Bokulich et  
128 al., submitted). Any OTU comprising less than 0.001% of total sequences for each run were  
129 removed prior to further analysis (17). Environmental surveillance heatmaps based on taxonomic  
130 abundances were visualized using SitePainter 1.1 (22).

131 The absolute abundance of individual bacterial and fungal taxa detected by marker-gene  
132 sequencing was estimated as the product of their relative abundances (number of sequences  
133 identified as that taxon divided by total number of sequences observed) x the observed copy  
134 number of the corresponding gene detected by QPCR (16S rRNA gene copies for bacteria, ITS copies  
135 for fungi).

136

137 **Terminal restriction fragment length polymorphism (TRFLP).** Lactic acid bacteria-specific  
138 TRFLP (LAB-TRFLP) was performed as described previously using the primers NLAB2F (5'-(HEX)-  
139 GGCGCGTGCCTAATACATGCAAGT-3') and WLAB1R (5'-TCGCTTTACGCCCAATAAATCCGGA-3')  
140 (23). PCR conditions consisted of an initial denaturation at 95°C for 5 min, followed by 30 cycles of

141 denaturation at 95°C for 45 sec, annealing at 66°C for 30 sec, and extension at 72°C for 45 sec, and  
142 with a final extension at 72°C for 5 min. Samples were purified using QIAquick PCR Purification Kit  
143 (Qiagen), digested using enzymes MseI and Hpy188I according to manufacturer instructions, and  
144 submitted to the UC Davis College of Biological Sciences Sequencing Facility for capillary  
145 electrophoresis fragment separation. Electropherogram traces were visualized using the program  
146 Peak Scanner v1.0 (Applied Biosystems, Carlsbad, CA) using a baseline detection value of 10  
147 fluorescence units. Peak filtration and clustering were performed with R software using the TRFLP-  
148 STATS (24). OTUs were identified based on an empirical TRFLP database (23) and an *in silico* digest  
149 database generated with MiCA (25) of good-quality 16S rRNA gene sequences from RDP (26),  
150 allowing up to 3 nucleotide mismatches within 15 bp of the 5' terminus of the forward primer.  
151 OTUs detected by TRFLP are reported as relative abundance, or the peak area of the corresponding  
152 terminal restriction fragment(s) divided by the total peak area observed for each sample.

### 153 **Quantitative PCR**

154 In order to quantify net microbial biomass in sake samples and on equipment surfaces, quantitative  
155 PCR (QPCR) was used to enumerate total fungi and bacteria. QPCR was performed in 20- $\mu$ L  
156 reactions containing 2  $\mu$ L of DNA template, 8 pmol of each respective primer, and 10  $\mu$ L of Takara  
157 SYBR 2X Perfect Real Time Master Mix (Takara Bio Inc). Total fungi were quantified using the  
158 primers BITS (5'-ACCTGCGGARGGATCA-3') and B58S3 (5'-GAGATCCRTTGYTRAAAGTT-3') (14).  
159 Reaction conditions involved an initial step at 95°C for 30 sec, followed by 40 cycles of 5 sec at 95  
160 °C, 1 min at 55 °C, and 1 min at 72 °C. For amplification of total bacteria, the primers Uni334F (5'-  
161 ACTCCTACGGGAGGCAGCAGT-3') and Uni514R (5'-ATTACCGGGCTGCTGGC-3') (27) were used.  
162 Reaction conditions consisted of an initial hold at 50°C for 2 min, 95°C for 10 min, followed by 40  
163 cycles of 15 sec at 95 °C and 60 sec at 60 °C. All reactions were performed in triplicate in optical-  
164 grade 96-well plates on an ABI Prism 7500 Fast Real-Time PCR System (Applied Biosystems). The  
165 instrument automatically calculated cycle threshold ( $C_T$ ), efficiency ( $E$ ), confidence intervals, and  
166 *Saccharomyces cerevisiae* cell equivalents (fungi) or 16S rRNA gene copy number (bacteria) by  
167 comparing sample threshold values ( $C_T$ ) to a standard curve of serially diluted genomic DNA  
168 extracted from a known concentration of *S. cerevisiae* or *Escherichia coli* cells.

### 169 **Data Accessibility**

170 Raw marker-gene sequencing data are deposited in QIIME-DB ([www.microbio.me/qiime](http://www.microbio.me/qiime)) under  
171 the accession numbers 2278 (16S rRNA gene sequences) and 2279 (fungal ITS sequences).

172

173 **RESULTS**174 **Koji and sake preparation involve multi-stage microbial succession.**

175 To elucidate the microbial processes involved in traditional *kimoto* sake fermentations, a combined  
176 culture-independent approach of marker-gene sequencing, QPCR, and LAB-TRFLP (23) was used to  
177 profile two separate fermentations and koji preparations in a single North American sake brewery.  
178 Results demonstrated large changes in bacterial community composition and abundance over time  
179 (Figure 2). Koji preparations were characterized by several-log bacterial growth over 48 hr,  
180 reaching a maximum of close to  $10^9$  16S rRNA gene copies/mL (Figure 2A-B). For the first 24 hr  
181 following inoculation, the bacterial communities appear unpredictable and differed between  
182 batches but primarily consisted of *Acinetobacter*, *Bacillus*, and *Staphylococcus*. After 24 hr, both koji  
183 batches were dominated by *Bacillus* with secondary populations of *Staphylococcus* and  
184 *Planococcaceae*. After mixing with steamed rice and water to initiate *moto* production, the bacterial  
185 communities quickly change from a koji-like profile to become dominated by *Lactobacillaceae*,  
186 *Klebsiella*, and *Lactococcus* within 4 days, accompanied by another 1-log increase in bacterial  
187 abundance to between  $10^9$  to  $10^{10}$  16S rRNA gene copies/mL (Figure 2A). As *moto* fermentation  
188 proceeded, *Lactococcus* and *Klebsiella* gradually declined, replaced by increasing populations of  
189 *Lactobacillaceae*. The onset of *moromi*, during which more steamed rice is added as the  
190 fermentation is mixed and transferred to a larger vessel, is characterized by another drastic change  
191 in bacterial community composition. *Bacillus* and *Leuconostoc* suddenly emerged in both batches  
192 before gradually decreasing during the course of fermentation. *Lactococcus*, *Staphylococcus*, and  
193 *Klebsiella* continued to decrease over the course of *moromi* fermentation, yielding to increasing  
194 *Lactobacillaceae* populations. However, no appreciable change in bacterial abundance occurred  
195 during the *moto-to-moromi* transition, nor during the remainder of the fermentation, through  
196 which it hovered around  $10^8$  to  $10^9$  16S rRNA copies/mL (Figure 2A-B). Although some of the  
197 taxonomic groups detected in these fermentations include potentially pathogenic organisms (e.g.,  
198 *Klebsiella*), both batches tested finished at 10% alcohol and pH 3.7, effectively preventing growth or  
199 survival of pathogenic organisms and explaining the decreased abundance of these groups as  
200 *moromi* fermentation progressed.

201 Due to the limited heterogeneity of some bacterial taxonomic groups within the 16S rRNA gene V4  
202 domain with the short read lengths achievable by marker-gene sequencing methods, the dominant  
203 bacterial taxon in sake fermentations could only be confidently identified to family level:  
204 *Lactobacillaceae*. Therefore, LAB-TRFLP (23) was used to identify which *Lactobacillaceae* were



205 present during the course of sake fermentations. Results identified *Lactobacillus plantarum* as the  
206 most abundant species during the course of fermentation, with large populations of *Lactobacillus*  
207 *parabrevis*, *Lactobacillus fermentum*, and a group identified as either *Lactobacillus acidophilus*,  
208 *Lactobacillus helveticus*, or *Lactobacillus amylolyticus* (Figure 2C). *L. plantarum*, *L. acidophilus*, and *L.*  
209 *fermentum* have all been described in sake previously (12). Consistent with the marker-gene  
210 sequencing results, *Lactococcus lactis* and other *Streptococcaceae* (most likely other lactococci)  
211 were also detected during the fermentation course.

212 The fungal communities of *kimoto* fermentations exhibited comparatively less complexity,  
213 consisting primarily of *S. cerevisiae* and *A. oryzae* throughout the entire course of fermentation  
214 (Figure 3). Rapid fungal growth was observed over the 48-hr course of koji preparation, from  
215 around  $10^6$  to  $10^{10}$  *S. cerevisiae* cell equivalents/mL, consisting almost entirely of *A. oryzae* with  
216 minor populations of *S. cerevisiae*. Populations continue to increase and stabilize around  $10^{10}$  cell  
217 equivalents/mL through *moto* and *moromi* stages, during which time *A. oryzae* dramatically  
218 decreases in favor of *S. cerevisiae*. Minor populations of *Wickerhamomyces anomalus* were observed  
219 sporadically in both batches in these later stages. In the initial days of batch 1 *moromi*, several other  
220 fungi were observed, including *Phoma*, *Aspergillus*, and an unknown *Nectriaceae*, disappearing  
221 within the first week ("Other" in Figure 3).

#### 222 **Processing environment is source of adventitious microbiota in sake fermentations.**

223 Marker-gene sequencing and QPCR were also both applied to characterize the bacterial and fungal  
224 communities on equipment and surfaces within the sake brewery environment in order to observe  
225 sites of microbial transfer between the processing environment and these autochthonous sake  
226 fermentations. The adventitious microbiota detected during these sake fermentations were  
227 observed frequently throughout the brewery environment (Figure 4). The greatest abundance of  
228 bacteria and fungi were detected within the main fermentation cellar, particularly in and around  
229 the *moto*, fermentation, and aging tanks. *S. cerevisiae* (99.9% maximum relative abundance) and the  
230 *Lactobacillaceae* OTUs (70.7%) detected in the fermentations were highly abundant at these sites,  
231 as were *Bacillus* (40.8%), *Klebsiella* (10.7%), *Lactococcus* (10.3%), *Leuconostoc* (1.8%),  
232 *Staphylococcus* (3.1%), and *W. anomalus* (38.5%), all organisms detected in the *moto* and *moromi*  
233 fermentations. The koji room and rice steaming room both displayed lower bacterial and fungal  
234 abundance compared to the main cellar. Microbes detected in the fermentations were less  
235 prevalent here, but *Bacillus* and *Staphylococcus* were detected at higher abundances on equipment  
236 surfaces within these rooms, corresponding to their detection in the koji preparations. While *A.*

237 *oryzae* was the dominant fungus in koji preparations, it was detected less frequently in the  
238 environment (73.5% maximum relative abundance inside koji room, 6.5% maximum elsewhere).

239

240 **Discussion**

241 *Kimoto* sake fermentations are a unique and increasingly rare fermentation tradition, employing  
242 indigenous microbiota to perform a multi-stage food fermentation. These several stages of  
243 production apparently involve a parallel succession of bacteria and fungi responsible for the  
244 fermentation. The initial stage, koji preparation, is a semi-aerobic, stirred, solid fermentation,  
245 dominated by *A. oryzae* (the only organism inoculated in the koji), *Bacillus*, and *Staphylococcus*,  
246 accompanied by a complex, variable consortium of adventitious bacteria (Figure 2-3). Some of  
247 these groups, e.g., *Pseudomonas*, have been detected in early sake fermentations previously but do  
248 not persist (6-7), consistent with our observations. *A. oryzae* was the dominant fungus detected in  
249 the koji and the koji room environment, reflecting its use as an inoculum here. The marker-gene  
250 sequencing method could not distinguish subspecies of this fungus, but this OTU presumably  
251 represents the subspecies *A. oryzae*, the pure commercial inoculum used in this facility (and  
252 traditionally in sake and other food fermentations), not other phytopathogenic, aflatoxin-producing  
253 subspecies of *A. flavus* (28).

254 The *moto*, or seed mash, is the next propagation stage involved in sake production, during which  
255 prepared koji is mixed with steamed rice and water, precipitating a dramatic shift in the microbial  
256 communities and initiating alcoholic fermentation (Figure 2-3). *A. oryzae*, *Bacillus*, and other koji  
257 organisms rapidly declined, most likely because of decreased aerobiosis following hydration, and  
258 were replaced by *S. cerevisiae*, *Lactobacillus* spp., *Lactococcus*, and *Klebsiella*. This consortium bears  
259 considerable similarity to another autochthonous beverage fermentation, lambic-style coolship  
260 beers (29), providing a similar niche as a grain-based sugar substrate with relatively high-pH and  
261 low-alcohol prior to fermentation. While the roles of *Saccharomyces* and lactic acid bacteria in sake  
262 fermentations are well characterized—alcohol production and acidification, respectively—those of  
263 several other microbiota that appear in the *moto* are unclear. *Klebsiella* may play a similar role as in  
264 lambic-style beers, in which enterobacteria produce short-chain fatty acids and organic acids that  
265 contribute to product complexity (30). Consequently, they may be responsible for some of the more  
266 pungent aromas of *kimoto* compared to modern sake production.

267 The *moromi*, or main mash, involves mixing the *moto* with increasing quantities of water and  
268 steamed rice to start the main fermentation. Interestingly, *Bacillus* and *Leuconostoc* emerged at this  
269 stage in both batches analyzed, as well as *Staphylococcus* in batch B, reminiscent of the bacterial  
270 composition of the koji (Figure 2). Though these taxa were detected throughout the main cellar,  
271 their sudden emergence in the *moromi* may suggest that the several-fold dilution of the *moto* with

272 water and steamed rice introduces this microbial influx and encourages their growth until  
273 conditions re-stabilize. Spore-forming bacilli may survive rice steaming (31) and grow on the  
274 surface before alcohol increases and oxygen decreases, yielding the large populations observed in  
275 all *moromi* tanks and batches. *Bacillus* spp. are commonly reported in other rice wines and solid  
276 rice fermentations (32-38) in which the amylolytic activity of these bacteria may be an important  
277 contributor to saccharification (33). The role of bacilli in sake flavor development is unknown, but  
278 they can produce an array of ketones, acids, esters, and other compounds important to soybean  
279 fermentations (39) and may play a similar role here. *Staphylococcus* is frequently detected on  
280 human skin (40) and in food fermentations, including other Asian beverage fermentations that  
281 employ semi-solid stages similar to koji preparation (34-37). The presence of this bacterium in  
282 both the koji and the *moromi* may relate to the manual contact involved in koji production and  
283 *moromi* mixing and mashing, which is performed with a wooden paddle. The common pattern  
284 observed in rice fermentations of early dominance by *Staphylococcus* and *Bacillus* species  
285 succeeded by lactic acid bacteria has led other authors to speculate that these bacteria may produce  
286 growth factors conducive to lactic acid bacteria growth later during the fermentation (38), but this  
287 relationship has yet to be demonstrated. *Leuconostoc* species have also been frequently isolated  
288 from sake fermentations, in which it produces lactic acid (11-12). In some kimoto fermentations,  
289 *Leuconostoc mesenteroides* can directly compete with *L. sakei*, providing the opportunity for growth  
290 of wild yeasts (9).

291 Surprisingly, no yeasts other than *S. cerevisiae* were detected in appreciable quantities throughout  
292 any of the sake fermentations, nor within the processing environment. Non-*Saccharomyces* yeasts  
293 have been reported in other sakes previously (8), as well as in other food-processing environments  
294 (1, 41). Unlike cheese and wine production, sake production involves raw material sterilization, rice  
295 steaming, prior to any production stage. This may limit the carryover of raw material microbiota  
296 into the fermentation and into the processing areas. The low fungal biomass observed in the rice-  
297 steaming and koji-preparation rooms may be further evidence of this theory. The one non-  
298 *Saccharomyces* yeast detected in sakes and in the cellar was *W. anomalus*. This yeast is commonly  
299 detected in fermented beverages and other food products (42). It is considered typical in some food  
300 fermentations, including other rice wines (32), but causes spoilage in many foods through excessive  
301 ethyl acetate production (42). It was detected at low abundances in these *kimoto* fermentations,  
302 likely inhibited by the high alcohol concentration (42), but may be a typical member of these types  
303 of fermentations.

304 Most of these organisms commonly detected in these *kimoto* fermentations were also detected on  
305 equipment and other surfaces throughout the main cellar, particularly on processing equipment  
306 and fermentation tanks (Figure 4). As these fermentations rely entirely on the growth of  
307 adventitious microbiota, their presence within the cellar demonstrates the importance of surface  
308 contact for possible bi-directional transfer of these organisms between fermentations. Similarly to  
309 artisan cheese makers (1), the resident microbiota may be unique to individual breweries,  
310 potentially leading to regional differences in *kimoto* characteristics. However, the resident  
311 populations may not necessarily be stable, and likely fluctuate seasonally as previously observed in  
312 wineries (41), altering the propensity for flavor development and spoilage by indigenous  
313 microbiota on a seasonal basis in response to changing environmental conditions. This may reflect  
314 the practice of performing traditional sake fermentations only during winter months, when cooler  
315 conditions would dampen spoilage potential. Further studies across multiple sake breweries and  
316 seasons will be necessary to establish the stability and regionality of sake brewery microbiota.

317 This study illuminates the role of brewery-resident, adventitious microbiota in spontaneous sake  
318 fermentations. The microbial succession of these fermentations closely corresponds to the  
319 microbial consortia inhabiting the production environment, illustrating the reservoirs and routes  
320 for microbial contact in traditional food fermentations. Interrogating the microbial consortia of  
321 production environments in parallel with food products is a valuable approach for understanding  
322 the complete ecology of food-production systems. Using this model, a similar approach could—and  
323 should—be applied to any food production system, leading to enlightened perspectives for process  
324 control, spoilage prevention, and food safety.

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460 **Figure 1.** Generalized sake production schematic. Times and temperatures listed at each stage  
461 represent those typically used in the sake brewery featured in this study.

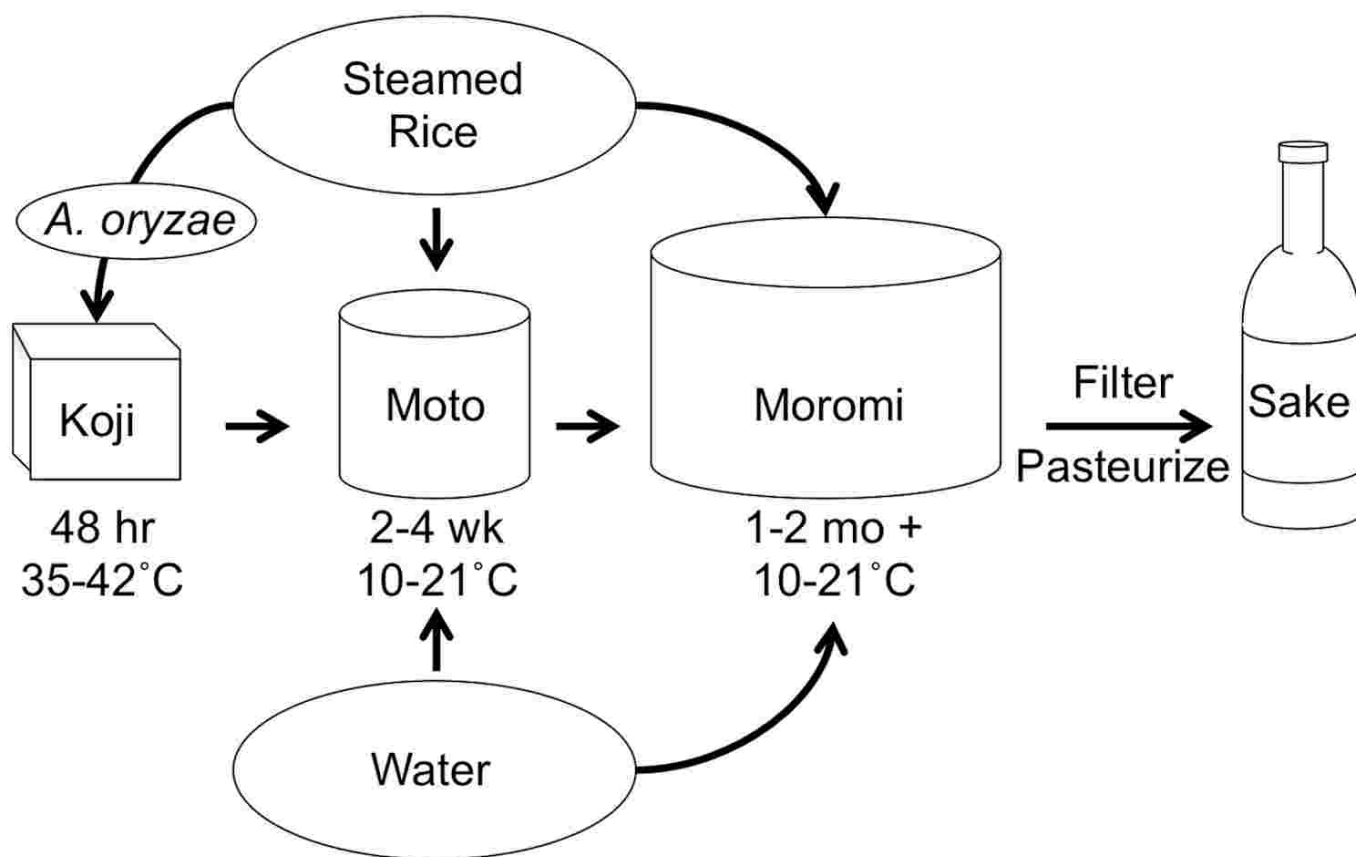
462 **Figure 2.** *Kimoto* fermentations involve multi-stage bacterial succession. Bacterial community  
463 abundance and structure across time for batch A (A) and batch B (B). Column height indicates QPCR  
464 16S rRNA gene copy number/mL. Relative abundance of each bacterial taxon (sequence count /  
465 total sequence count) derived from marker-gene sequencing, key in panel B) is superimposed on  
466 each bar and does not correspond to the *y*-axis. Only taxa detected at  $\geq 1\%$  maximum relative  
467 abundance are shown. (C) Relative abundance (OTU peak area / total peak area) of *Lactobacillales*  
468 detected in batch A by LAB-TRFLP (23). Units along *x*-axis indicate hours (koji) or days (*moto* and  
469 *moromi*) since initiation of stage. I, inoculation with *A. oryzae*; M, mixing; H, koji harvest.

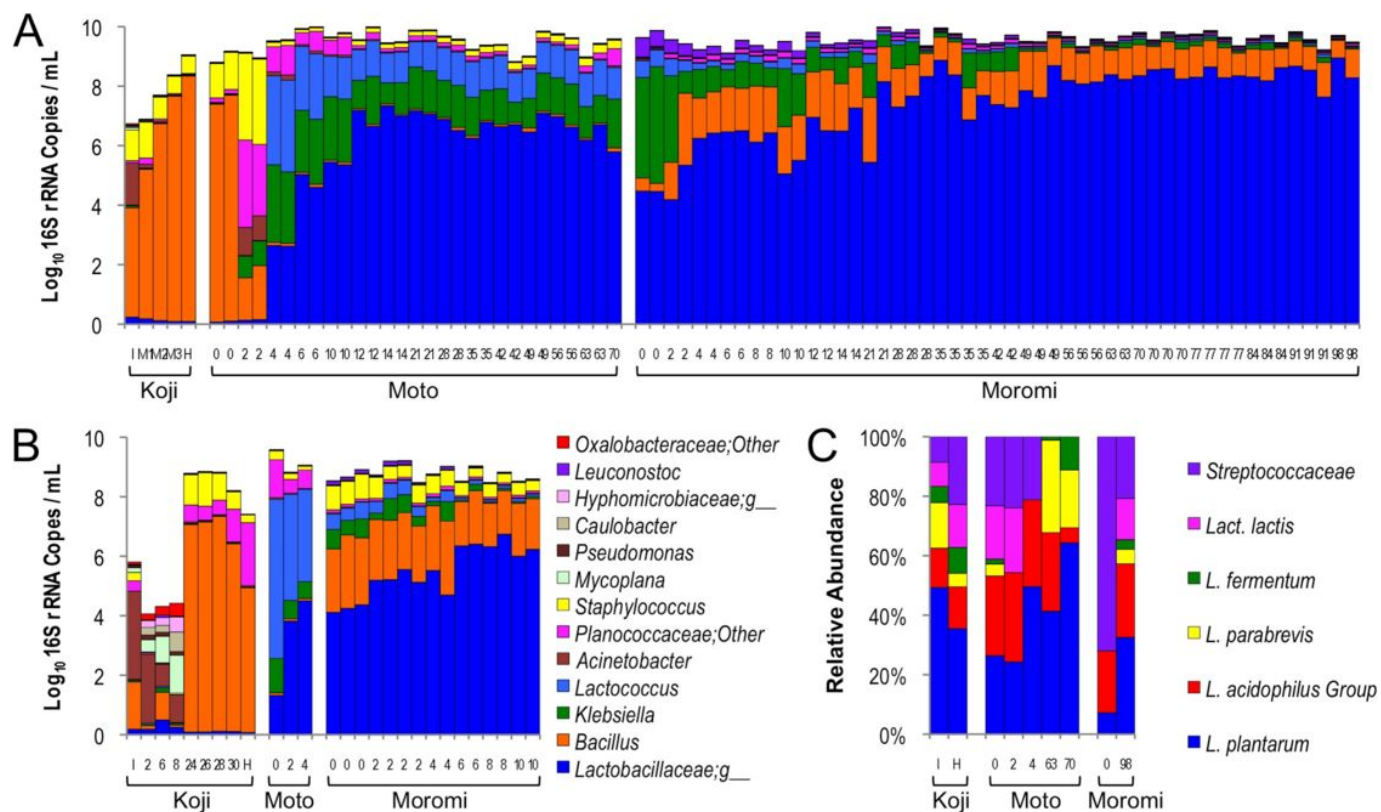
470 **Figure 3.** Fungal succession of *kimoto* fermentations. Fungal community abundance and structure  
471 across time for batch A (A) and batch B (B). Column height indicates QPCR *S. cerevisiae* cell  
472 equivalents/mL. Relative abundance of each fungal taxon (sequence count / total sequence count)  
473 derived from marker-gene sequencing, key in panel B) is superimposed on each bar and does not  
474 correspond to the *y*-axis. Only taxa detected at  $\geq 1\%$  average relative abundance are shown.

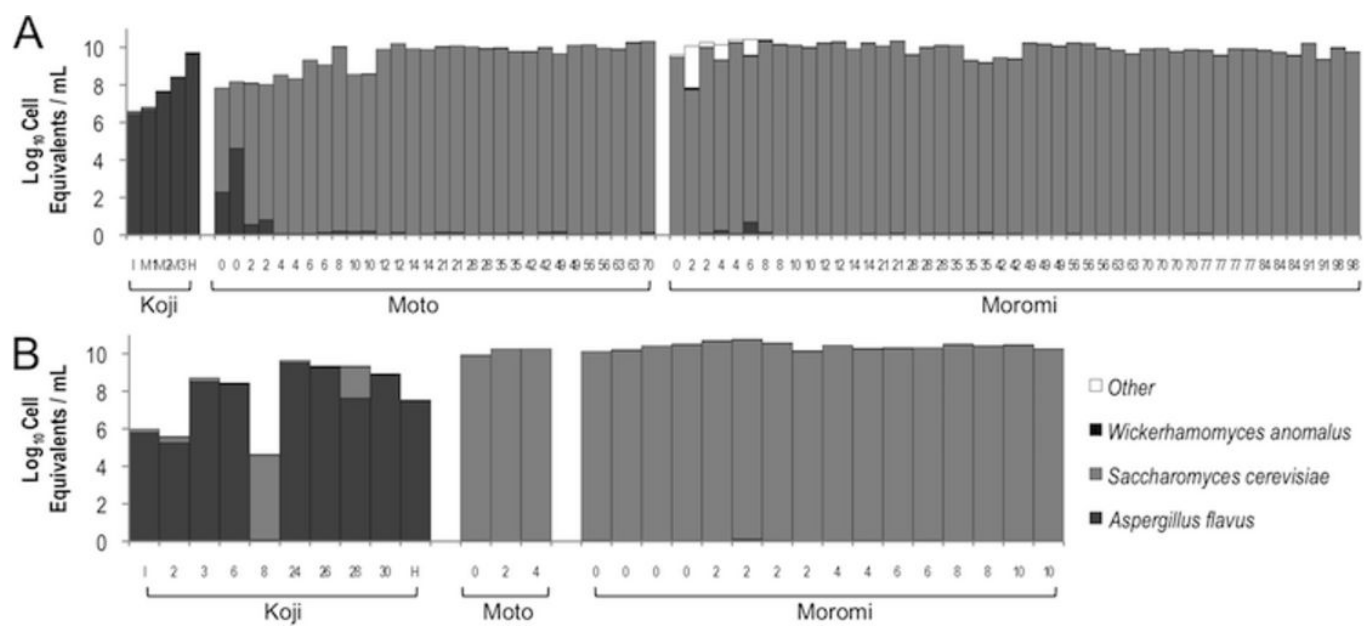
475 **Figure 4.** Microbial drivers of *kimoto* fermentations are residents of the processing environment.  
476 Floor plan key (top) depicts all environmental surfaces analyzed. Microbial heatmaps (below)  
477 indicate estimated absolute abundance of select microbial taxa detected in high abundance in  
478 *kimoto* fermentations. Total bacteria and total fungi are results of actual QPCR data, estimated  
479 abundances of other taxa are the products of marker-gene sequencing relative abundance  
480 (sequence count / total sequence count) x absolute abundance of the appropriate QPCR target.  
481 Color gradient logarithmic scale is indicated in the key (top-right). White surfaces (fungal plots)  
482 were below limit of detection.

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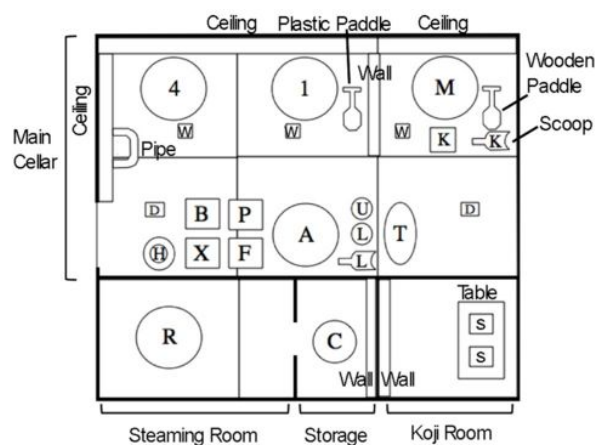
484







- M Moto Tank
- 1,4 Fermentation Tanks
- K Koji Scoop/Bucket
- A Aging Tank
- T Mixing Tub
- U Moromi Bucket
- L Lees Scoop/Bucket
- B Botpler
- P Moromi Press
- X Heat Exchanger
- F Filter Press
- D Drains
- H Transfer Hoses
- R Rice Steamer
- C Rice Container
- W Wooden Beams
- S Koji Sheets



Color Key

